

Short Communication

A new "one-way"-photoisomerizing aromatic olefin: the effect of a fluor-anthenyl group on the triplet energy surface

TATSUO ARAI^a, YASUNAO KURIYAMA^a, TAKASHI KARATSU^a,
HIROCHIKA SAKURAGI^a, KATSUMI TOKUMARU^a and SHIGERO OISHI^{b†}

^aDepartment of Chemistry, University of Tsukuba, Sakura-mura, Ibaraki 305 (Japan)

^bSagami Chemical Research Center, Sagami-hara, Kanagawa 229 (Japan)

(Received January 7, 1986; in revised form March 12, 1986)

On direct and triplet-sensitized irradiation the *cis* isomer of 8-(3,3-dimethyl-1-butenyl)fluoranthene (*cis*-I) isomerized to its *trans* isomer (*trans*-I) with a yield of 100%; however, *trans*-I did not isomerize to *cis*-I under similar conditions. The concentration dependence of the isomerization quantum yield and transient spectrum measurements indicate that the *cis* → *trans* isomerization on direct irradiation also proceeds adiabatically on the triplet manifold. This type of "one-way" isomerization can be ascribed to reaction on the triplet energy surface, on which the *trans* geometry is more stabilized than the twisted geometry by the introduction of a large aromatic moiety and thus the former is the sole decay funnel to the ground state.

1. Introduction

It has long been accepted that olefins generally undergo mutual photoisomerization between their *cis* and *trans* isomers, and there have been intensive investigations on stilbenes [1 - 7], naphthylethylenes [8, 9] and styrenes [10, 11]. However, we recently found that olefins bearing a 2-anthryl group on the ethylenic linkage undergo *cis* → *trans* "one-way" isomerization and proposed a novel mechanism for this isomerization [12, 13]. Thus, the *cis* triplet (³c*) generated by energy transfer undergoes twisting adiabatically to give the *trans* triplet (³t*) which either deactivates to the *trans* isomer or undergoes energy transfer to the *cis* isomer to regenerate ³c*. In this mechanism the deactivation of the triplet state occurs exclusively from ³t*. This is in strong contrast with the mechanism accepted for stilbenes and other compounds [1 - 9], in which the deactivation of the triplet state takes place from the twisted geometry (³p*), thus giving a mixture of *cis* and *trans* isomers.

†Present address: Radiation Laboratory, University of Notre Dame, Notre Dame, IN 46556, U.S.A.

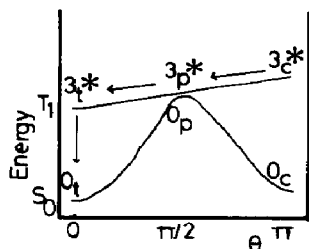


Fig. 1. Triplet energy surface of one-way-photoisomerizing olefins.

For the occurrence of one-way isomerization we proposed a triplet energy surface which decreases in energy monotonically with the angle of twist around the ethylenic bond from the cis to the trans geometry (Fig. 1) [12, 13]. This type of triplet energy surface might be created by the introduction of an aromatic moiety with a low triplet energy which stabilizes $^3t^*$ to a greater extent than $^3p^*$. To investigate this further, we prepared another aromatic olefin, 8-(3,3-dimethyl-1-butenyl)fluoranthene (I) and examined its photochemical behaviour on direct and sensitized irradiation.

2. Results and discussion

On irradiation sensitized with ketones (in benzene) and dyes (in 50vol.%methanol-50vol.%benzene) such as biacetyl, Michler's ketone, acridine orange and proflavine, *cis*-I isomerized efficiently to *trans*-I with a yield of 100%.

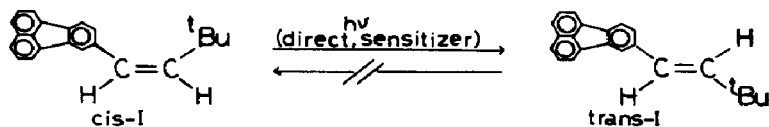


Table 1 summarizes the effects of various sensitizers examined. Of the sensitizers employed, those with triplet energies higher than 205 kJ mol^{-1} sensitized the *cis* \rightarrow *trans* isomerization; the calculated free energy changes ΔG° for electron transfer [21] from the sensitizer triplets to *cis*-I are not correlated with the efficiencies. On the contrary, none of the sensitizers employed sensitized *trans* \rightarrow *cis* isomerization. The quantum yield for *cis* \rightarrow *trans* isomerization increased linearly with increasing [*cis*-I] and exceeded unity at a concentration as low as $1 \times 10^{-3} \text{ M}$ for sensitization by Michler's ketone. These results can be reasonably taken to imply that the present isomerization takes place through the same mechanism as that proposed for the photoisomerization of anthrylethylenes; introduction of a fluoranthenyl group, whose triplet energy is nearly 225 kJ mol^{-1} [17], significantly affects the triplet energy surface, as observed for the anthrylethylenes (Fig. 1).

Direct irradiation of *cis*-I in benzene also led to its 100% isomerization to *trans*-I; however, *trans*-I did not isomerize to *cis*-I under similar conditions. The quantum yield increased linearly with increasing [*cis*-I], as shown in

TABLE 1

Quantum yields for triplet-sensitized isomerization of *cis*-I^a

Sensitizer	E_T (kJ mol ⁻¹)	Φ_{isc}^S ^b	$\Phi_{c \rightarrow t}$	$\Phi_{c \rightarrow t}/\Phi_{isc}$
Thionine	165 ^c	0.55 ^g	0.0	0.0
Rose bengal	185 ^d	0.76 ^h	0.0	0.0
Eosin Y	190 ^e	0.44 ⁱ	0.0	0.0
Fluorescein	195 ^e	0.05 ^g	0.0	0.0
Acridine orange	205 ^e	0.30 ⁱ	0.81	2.7
Proflavine	215 ^e	0.45 ⁱ	0.57	1.3
Biacetyl	230 ^f	1 ^f	4.9	4.9
Michler's ketone	260 ^f	1 ^f	2.3	2.3

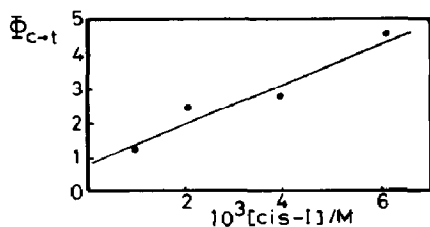
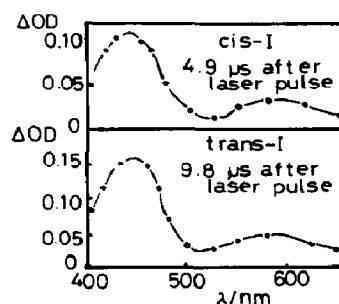
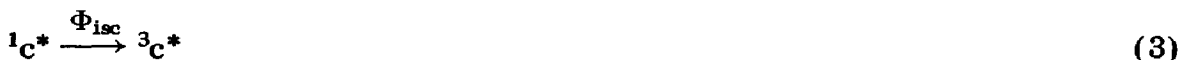
^a [*cis*-I] = 1×10^{-3} M.^b Quantum yield for intersystem crossing of sensitizer.^c From ref. 14.^d From ref. 15.^e From ref. 16.^f From ref. 17.^g From ref. 18.^h From ref. 19.ⁱ From ref. 20.Fig. 2. Effect of *cis*-I concentration on its isomerization quantum yield on direct irradiation.Fig. 3. T-T absorption spectra of *cis*-I and *trans*-I in benzene.

Fig. 2, indicating that the isomerization proceeds through a quantum chain process. The intercept (0.83) in Fig. 2 corresponds to a quantum yield which has no contribution from the quantum chain process.

cis-I exhibited a fluorescence spectrum different from that of *trans*-I in both shape and lifetime. The fluorescence quantum yield Φ_f was determined as 0.16 for *cis*-I and 0.19 for *trans*-I in benzene at ambient temperature. If the internal conversion from the excited singlet of *cis*-I can be reasonably neglected, *i.e.* $\Phi_{isc} + \Phi_f = 1$, then $\Phi_{isc} = 1 - \Phi_f = 0.84$. This value is in good agreement with the intercept in Fig. 2 mentioned above. Therefore, it can be assumed that on direct irradiation the isomerization takes place from the triplet state (Scheme 1).

The triplet mechanism is supported by measurements of transient absorption spectra of *cis*- and *trans*-I. Figure 3 shows the transient spectra

observed on nitrogen laser excitation of the olefin (4×10^{-4} M) in benzene. Both isomers exhibit the same spectra, having two bands at 400 - 480 and



Scheme 1.

550 - 650 nm with the same lifetime ($\tau_{\text{T}} = 25 \mu\text{s}$) as measured at the peak wavelengths. Under similar conditions fluoranthene exhibited its T-T absorption at 400 - 440 nm ($\tau_{\text{T}} = 30 \mu\text{s}$). The spectra of the olefin were effectively quenched by azulene ($E_{\text{T}} = 165 \text{ kJ mol}^{-1}$ [22]); the quenching rate constant was determined as $3.6 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$ on excitation of *cis*-I. From these results the observed transient spectra can be reasonably assigned to the trans triplet.

The results of stationary irradiation and flash photolysis indicate that the triplet mechanism describes the *cis* \rightarrow *trans* one-way isomerization on direct irradiation (Scheme 1). According to this mechanism, the quantum yield is expressed by

$$\Phi_{\text{c} \rightarrow \text{t}} = \Phi_{\text{isc}}(1 + k_{\text{q}}\tau_{\text{T}}[\textit{cis}\text{-I}])$$

where k_{q} is a rate constant for the energy transfer from ${}^3\text{t}^*$ to *cis*-I. Dividing the slope (600 M^{-1}) by the intercept (0.83) in Fig. 2 gives $k_{\text{q}}\tau_{\text{T}}$ as 720 M^{-1} .

This magnitude of quenching rate can only be achieved in the singlet state when the quenching rate constant is larger than the diffusion-controlled value. However, the trivial and dipole-dipole mechanisms for energy transfer are not likely to be in operation, because the singlet energy of *cis*-I is higher than that of *trans*-I and there is only a small overlap between the fluorescence spectrum of *trans*-I and the absorption spectrum of *cis*-I. A mechanism involving a ground state complex can be also ruled out since there was no evidence for the formation of such a complex in either the absorption and fluorescence spectra or the transient absorption spectra for various concentrations of *trans*-I.

k_q is calculated to be $2.9 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$ by using the τ_T (25 μs) obtained above. The following equation is established for endothermic energy transfer [23]:

$$k_q = A \exp\left(-\frac{\Delta E_a}{RT}\right)$$

where

$$-\Delta E_a = \Delta E_T = E_T(\text{trans-I}) - E_T(\text{cis-I})$$

On substituting $k_q = 2.9 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$ into this equation and assuming $k_q = 5 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$ at $\Delta E_T = 0$, the energy transfer from $^3t^*$ to *cis*-I is estimated to be endothermic by 13 kJ mol^{-1} .

The above results clearly indicate that the triplet energy surfaces are not essentially the same for all types of aromatic olefin (for example, compare those proposed for stilbenes [1 - 7]) but that they are highly dependent on the structure of the aryl groups on the ethylenic linkage; the energy of $^3t^*$ seems especially to be mainly governed by the triplet energy of the aryl group [12, 13, 24]. For this reason, olefins bearing an aryl group with a low triplet energy, such as anthryl and fluoranthenyl, undergo one-way isomerization through the quantum chain process, and the *trans* triplet, which is lower in energy than the twisted triplet, is the key intermediate of isomerization.

3. Experimental details

3.1. Materials

A mixture of *cis*- and *trans*-I was synthesized by the condensation of dimethylpropanal with the ylide prepared from (8-fluoranthenyl)methyltriphenylphosphonium bromide and butyllithium in ether. The *cis* and *trans* isomers were separated by silica gel column chromatography by eluting with hexane and were crystallized from benzene. Sensitizers were purified by crystallization or distillation. Benzene was distilled over calcium hydride; methanol was distilled after being dried by Zeolite A-3.

3.2. Quantum yields

Quantum yields for *cis* \rightarrow *trans* isomerization were determined using potassium tris(oxalato)ferrate(III) actinometry [25]. Irradiation was performed at 435 nm for biacetyl sensitization, at 313 nm for Michler's ketone sensitization and at 366 nm for direct irradiation. All of these lines were isolated from a 400 W high pressure mercury lamp with appropriate filters. Under dye-sensitized conditions irradiation was performed with $490 \pm 10 \text{ nm}$ light isolated from a 500 W xenon lamp through a Shimadzu-Bausch and Lomb monochromator. The samples were deaerated by bubbling with argon.

3.3. Fluorescence spectra

Fluorescence spectra were recorded on a Hitachi MPF-4 spectrofluorometer at room temperature and fluorescence quantum yields were calculated by comparing corrected fluorescence spectra of the olefins with the spectrum of fluoranthene as a standard [26].

3.4. Laser flash photolyses

Laser flash photolyses were performed using a nitrogen laser (5 ns; 4 mJ per pulse) for excitation at 337.1 nm and a 150 W xenon lamp as the monitoring light source. A photomultiplier (Hamamatsu Photonix R446 or R212) and a storage oscilloscope (Iwatsu TS-8123) were used for the detection. The samples were degassed by 3 - 5 freeze-thaw cycles.

- 1 J. Saltiel and J. L. Charlton, in P. de Mayo (ed.), *Rearrangement in Ground and Excited States*, Vol. 3, Academic Press, New York, 1980, p. 25.
- 2 J. Saltiel, A. D. Rousseau and B. Thomas, *J. Am. Chem. Soc.*, **105** (1983) 7631.
- 3 J. Saltiel, G. R. Marchand, E. Kirkor-Kaminska, W. K. Smothers, W. B. Mueller and J. L. Charlton, *J. Am. Chem. Soc.*, **106** (1984) 3144.
- 4 H. Görner and D. Schulte-Frohlinde, *J. Photochem.*, **8** (1978) 91.
- 5 D. Schulte-Frohlinde and H. Görner, *Pure Appl. Chem.*, **51** (1979) 279.
- 6 H. Görner and D. Schulte-Frohlinde, *J. Phys. Chem.*, **85** (1981) 1835.
- 7 D. Gegiou, K. A. Muszkat and E. Fischer, *J. Am. Chem. Soc.*, **90** (1968) 3907.
- 8 J. Saltiel and D. W. Eaker, *Chem. Phys. Lett.*, **75** (1980) 209.
- 9 H. Görner, D. W. Eaker and J. Saltiel, *J. Am. Chem. Soc.*, **103** (1981) 7164.
- 10 R. E. Schwerzel and R. A. Caldwell, *J. Am. Chem. Soc.*, **95** (1973) 1382.
- 11 T. Arai, H. Sakuragi and K. Tokumaru, *Bull. Chem. Soc. Jpn.*, **55** (1982) 2204.
- 12 T. Arai, T. Karatsu, H. Sakuragi and K. Tokumaru, *Tetrahedron Lett.*, **24** (1983) 2873.
- 13 T. Karatsu, T. Arai, H. Sakuragi and K. Tokumaru, *Chem. Phys. Lett.*, **115** (1985) 9.
- 14 H. E. A. Kramer, *Z. Phys. Chem., N.F.*, **66** (1969) 73.
- 15 M. J. Wade and J. D. Spikes, *Photochem. Photobiol.*, **14** (1971) 221.
- 16 R. W. Chambers and D. R. Kearns, *Photochem. Photobiol.*, **10** (1969) 215.
- 17 S. L. Murov, *Handbook of Photochemistry*, Dekker, New York, 1973, p. 3.
- 18 Y. Usui, *Chem. Lett.*, (1973) 743.
- 19 K. Gollnick and G. O. Schenck, *Pure Appl. Chem.*, **9** (1964) 507.
- 20 K. Kikuchi, M. Ozaki, H. Kokubun, M. Kikuchi and Y. Usui, *J. Photochem.*, **16** (1981) 19.
- 21 D. Rehm and A. Weller, *Ber. Bunsenges. Phys. Chem.*, **73** (1969) 834.
- 22 W. G. Herkstroeter, *J. Am. Chem. Soc.*, **97** (1975) 4161.
- 23 W. G. Herkstroeter and G. S. Hammond, *J. Am. Chem. Soc.*, **88** (1966) 4769.
- 24 T. Arai, T. Karatsu, H. Sakuragi and K. Tokumaru, *Chem. Lett.*, (1981) 1377.
- 25 C. G. Hatchard and C. A. Parker, *Proc. R. Soc. London, Ser. A*, **235** (1956) 518.
- 26 I. B. Berlman, *Handbook of Fluorescence Spectra of Aromatic Molecules*, Academic Press, New York, 1971, p. 390.